

2018 Current Fiscal Year Report: National Advisory Council on Alcohol Abuse and Alcoholism

Report Run Date: 06/05/2019 10:47:41 AM

1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

National Advisory Council on Alcohol Abuse and Alcoholism

3b. GSA Committee No.

946

4. Is this New During Fiscal Year?

No

5. Current Charter

12/13/2016

6. Expected Renewal Date

12/13/2018

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority

42 U.S.C. 284a

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee Other Committee

16a. Total Number of Reports

No Reports for this Fiscal Year

17a. Open 0 17b. Closed 1 17c. Partially Closed 2 Other Activities 0 17d. Total 3 Meetings and Dates

Purpose

Program Advisory/Second Level of Peer Review

Program Advisory/Secondary Level Peer Review

Program Advisory/Second Level of Peer Review

Start

02/08/2018

05/15/2018

09/13/2018

End

- 02/08/2018

- 05/16/2018

- 09/13/2018

Number of Committee Meetings Listed: 3

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$12,800.00	\$12,800.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$262,901.00	\$267,034.00
18a(4). Personnel Pmts to Non-Member Consultants	\$200.00	\$200.00
18b(1). Travel and Per Diem to Non-Federal Members	\$37,508.00	\$37,860.00
18b(2). Travel and Per Diem to Federal Members	\$2,055.00	\$2,074.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00

18b(4). Travel and Per Diem to Non-member Consultants	\$670.00	\$677.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$26,203.00	\$26,727.00
18d. Total	\$342,337.00	\$347,372.00
19. Federal Staff Support Years (FTE)	1.60	1.60

20a. How does the Committee accomplish its purpose?

The Council is composed of both scientific and public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the Institute. The Council reviewed 1,196 applications requesting \$453,105,538 that were reviewed by initial review groups and special emphasis panels for NIAAA.

20b. How does the Committee balance its membership?

The National Advisory Council on Alcohol Abuse and Alcoholism is composed of 18 appointed members with research interests and responsibilities paralleling those of the National Institute on Alcohol Abuse and Alcoholism. Twelve members are leading representatives of the health and scientific disciplines (including public health and the behavioral or social sciences). Six members are representatives of the general public, including leaders in the fields of public policy, law, health policy, economics, and management.

20c. How frequent and relevant are the Committee Meetings?

Meetings are held not less than three times each fiscal year. The National Advisory Council on Alcohol Abuse and Alcoholism (NCAA) met on February 8, 2018, May 15, 2018 and September 13, 2018. The NCAA met together with the Advisory Councils of NIDA and NCI on May 16, 2018. The NCAA provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Council on Alcohol Abuse and Alcoholism is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Council on Alcohol Abuse and Alcoholism were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This Committee did not produce any public reports. Ex Officio Member: The term for George Koob changed due to a reappointment. As such, his term of service end date is different than what was reported on the FY17 ACR. Members: The terms for Adolf Pfefferbaum and Rajita Sinha changed due to administrative extensions. As such, their term of service end date is different than what was reported on the FY17 ACR. The DFO and Committee Decision Maker positions are held by the same individual because of the assignment of responsibilities within the Institute.

Designated Federal Officer

ABRAHAM P. BAUTISTA DIRECTOR, OFFICE OF EXTRAMURAL ACTIVITIES

Committee Members	Start	End	Occupation	Member Designation
ALBIZU-GARCIA, CARMEN	03/20/2016	10/31/2019	PROFESSOR, DEPARTMENT OF HEALTH SERVICES ADMINISTRATION	Special Government Employee (SGE) Member
AZAR, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member Special Government Employee (SGE) Member
BAXTER, LOUIS	09/05/2017	10/31/2020	PRESIDENT AND EXECUTIVE MEDICAL DIRECTOR	Regular Government Employee (RGE) Member Special Government Employee (SGE) Member
BECKER, HOWARD	11/01/2017	10/31/2021	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
CALAC, DANIEL	02/05/2017	10/31/2020	CHIEF MEDICAL OFFICER	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/17/2009	01/20/2021	DIRECTOR	Ex Officio Member Special Government Employee (SGE) Member
DI CLEMENTE, CARLO	05/17/2015	10/31/2018	DIRECTOR, CENTER FOR COMMUNITY COLLABORATION,	Special Government Employee (SGE) Member
DONALDSON, TOM	03/20/2016	10/31/2019	PRESIDENT AND CEO	Special Government Employee (SGE) Member
DOPICO, ALEX	09/05/2017	10/31/2020	PROFESSOR AND CHAIR	Special Government Employee (SGE) Member

DREXLER, KAREN	02/10/2016	02/08/2026	DEPUTY NATIONAL MENTAL HEALTH PROGRAM DIRECTOR, ADDICTIVE DISORDERS	Ex Officio Member
EBERWINE, JAMES	05/17/2015	10/31/2018	ELMER HOLMES BOBST PROFESSOR OF PHARMACOLOGY	Special Government Employee (SGE) Member
FOROUD, TATIANA	03/20/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
HAGAN, ERIC	10/10/2017	01/29/2018	ACTING SECRETARY, HHS	Ex Officio Member
HITZEMANN, ROBERT	02/05/2017	10/31/2020	PROFESSOR	Special Government Employee (SGE) Member
KENNY, PAUL	05/17/2015	10/31/2018	WARD-COLEMAN PROFESSOR AND CHAIRMAN	Special Government Employee (SGE) Member
KOOB, GEORGE	01/27/2014	10/31/2020	DIRECTOR	Ex Officio Member
MARTINEZ, JOE	02/22/2016	10/31/2019	PROFESSOR OF PSYCHOLOGY	Special Government Employee (SGE) Member
MILLIKEN, CHARLES	11/01/2013	10/31/2018	PRINCIPAL INVESTIGATOR	Ex Officio Member
PFEFFERBAUM, ADOLF	11/01/2013	03/15/2018	DIRECTOR	Special Government Employee (SGE) Member
SANYAL, ARUN	02/21/2016	10/31/2019	CHARLES CARAVATI PROFESSOR OF MEDICINE	Special Government Employee (SGE) Member
SHAH, VIJAY	02/05/2017	10/31/2020	PROFESSOR, MEDICINE & PHYSIOLOGY	Special Government Employee (SGE) Member
SINHA, RAJITA	11/01/2013	03/15/2018	PROFESSOR OF PSYCHIATRY	Special Government Employee (SGE) Member
SLOAN, FRANK	01/25/2016	10/31/2019	J. ALEXANDER MCMAHON PROFESSOR OF HEALTH POLICY AND MANAGEMENT AND PROFESSOR OF ECONOMICS	Special Government Employee (SGE) Member
SMITH, SUSAN	02/05/2017	10/31/2020	PROFESSOR	Special Government Employee (SGE) Member
SULLIVAN, EDITH	02/08/2018	10/30/2021	PROFESSOR	Special Government Employee (SGE) Member
WEISNER, CONSTANCE	02/22/2016	10/31/2019	PROFESSOR	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	ACTING SECRETARY, HHS	Ex Officio Member

Number of Committee Members Listed: 27

Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the

research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that The Secretary....shall by regulation require appropriate technical and scientific peer review of - (A) applications ...; and (B) ...biomedical and behavioral research and development contracts... The National Advisory Council on Alcohol Abuse and Alcoholism provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies research needs of the National Institute on Alcohol Abuse and Alcoholism.

What are the most significant program outcomes associated with this committee?

Checked if Applies

- | | |
|---|-------------------------------------|
| Improvements to health or safety | <input checked="" type="checkbox"/> |
| Trust in government | <input type="checkbox"/> |
| Major policy changes | <input type="checkbox"/> |
| Advance in scientific research | <input checked="" type="checkbox"/> |
| Effective grant making | <input checked="" type="checkbox"/> |
| Improved service delivery | <input type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input checked="" type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

NA

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

20,839

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

The percentage of recommendations implemented stated above was developed at the time the Annual Comprehensive Review report was prepared and include policy/program recommendations as well as grant funding. Additional information on grant funding is available through the RePORT (Research Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

13%

% of Recommendations Partially Implemented Comments

The percentage of recommendations implemented stated above was developed at the time the Annual Comprehensive Review report was prepared and include policy/program recommendations as well as grant funding. Additional information on grant funding is available through the RePORT (Research Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to

implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Actions taken by the Advisory Council are summarized in the Council minutes and published on the NIAAA website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of approved or recommended for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated <u>Number</u> of grants reviewed for approval	1,196
What is the estimated <u>Number</u> of grants recommended for approval	1,196
What is the estimated <u>Dollar Value</u> of grants recommended for approval	\$453,105,538

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

Checked if Applies

- | | |
|---------------------------|-------------------------------------|
| Contact DFO | <input checked="" type="checkbox"/> |
| Online Agency Web Site | <input checked="" type="checkbox"/> |
| Online Committee Web Site | <input checked="" type="checkbox"/> |
| Online GSA FACA Web Site | <input checked="" type="checkbox"/> |
| Publications | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Access Comments

NA